

GUIDE TO 401K MANAGEMENT FOR **Rockwell** Automation

ADDITIONAL INVESTMENT OPTIONS IN YOUR 401K

Did you know your Rockwell Automation 401K plan offers a self-directed brokerage plan option through Fidelity's BrokerageLink?

Your Rockwell 401K 'Core' Plan has a limited list of mutual fund options. However, you are eligible to open a BrokerageLink account where up to 75% of your account balance can invest in individual stocks, individual bonds, ETFs, with professional management!

POTENTIAL BENEFITS TO YOU:

- Improved asset allocation.
- Portfolio customization based on your risk tolerance and objectives.
- Professional, active management.
- Increased transparency of what you own.
- May decrease internal investment cost structure and fees.
- Reduced overlap of investment holdings.

RECEIVE A COMPLIMENTARY AUDIT OF YOUR 401K



How Much Risk Am I Taking?

We may be able to identify potential unknown risks in your portfolio.



What Fees Am I Paying?

Let us help you understand your internal investment cost structure.



Do I Have Investment Inefficiencies?

We may identify inefficiencies and discuss how to mitigate them.



Am I Truly Diversified?

We can help you understand the make-up of your portfolio.

READY TO LEARN MORE?

Contact Anna Hopkins for additional information at 704-366-5776 or email anna@poterack.net with subject line 'Rockwell 401K Audit' - she will coordinate a 10-minute Zoom meeting with a Wealth Manager.

Ready for your Audit? Upload a current 401K statement to our secure, encrypted server by [clicking here](#). (no other information is required from you.)

The Wealth Managers at PCA charge fees for their services to manage your account and financial planning. There is no guarantee that greater asset allocation will enhance overall returns or outperform your current 401k choices. Asset allocation does not ensure against market risk. Investing involves risk including the potential for loss of principal.

Investment advice offered through Private Advisor Group, LLC, a registered investment advisor.

Poterack Capital Advisory and Private Advisor Group do not represent Rockwell Automation and are not endorsed by Rockwell Automation.

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